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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. AUS9-2000-0587-US1

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):  
**Geoffrey Owen Blandy**For: **APPARATUS AND METHOD FOR CREATING INSTRUCTION BUNDLES IN  
AN EXPLICITLY PARALLEL ARCHITECTURE**

Enclosed are also:

- ☒ 22 Pages of Specification including an Abstract  
☒ 9 Pages of Claims  
☒ 6 Sheet(s) of Drawings  
☒ A Declaration and Power of Attorney  
☒ Form PTO 1595 and assignment of the invention to IBM Corporation

**CLAIMS AS FILED**

FOR	Number Filed		Number Extra		Rate		Basic Fee (\$690)
Total Claims	39	-20 =	19	X	\$ 18	=	\$342.00
Independent Claims	3	-3 =	0	X	\$ 78	=	\$0.00
Multiple Dependent Claims	0			X	\$260	=	\$
<b>Total Filing Fee</b>							<b>= \$1,032.00</b>

- ☒ Please charge \$1,032.00 to IBM Corporation, Deposit Account No. 09-0447.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any over payment to IBM Corporation, Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.  
☒ Any additional filing fees required under 37CFR § 1.16.  
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**APPARATUS AND METHOD FOR CREATING INSTRUCTION BUNDLES IN  
AN EXPLICITLY PARALLEL ARCHITECTURE**

**RELATED APPLICATIONS**

5

The present invention is related to commonly assigned and co-pending U.S. Patent Applications \_\_\_\_\_ (Attorney Docket No. AUS9-2000-0569) entitled "APPARATUS AND METHODS FOR IMPROVED DEVIRTUALIZATION OF METHOD  
10 CALLS", \_\_\_\_\_ (Attorney Docket No. AUS9-2000-0570) entitled "APPARATUS AND METHOD FOR AVOIDING DEADLOCKS IN A MULTITHREADED ENVIRONMENT", \_\_\_\_\_ (Attorney Docket No. AUS9-2000-0572) entitled "APPARATUS AND METHOD FOR IMPLEMENTING SWITCH INSTRUCTIONS IN AN IA64  
15 ARCHITECTURE", \_\_\_\_\_ (Attorney Docket No. AUS9-2000-0573) entitled "APPARATUS AND METHOD FOR DETECTING AND HANDLING EXCEPTIONS", \_\_\_\_\_ (Attorney Docket No. AUS9-2000-0584) entitled "APPARATUS AND METHOD FOR VIRTUAL REGISTER MANAGEMENT USING PARTIAL DATA FLOW  
20 ANALYSIS FOR JUST-IN-TIME COMPILATION", \_\_\_\_\_ (Attorney Docket No. AUS9-2000-0585) entitled "APPARATUS AND METHOD FOR AN ENHANCED INTEGER DIVIDE IN AN IA64 ARCHITECTURE", and \_\_\_\_\_ (Attorney Docket No. AUS9-2000-0586) entitled "APPARATUS AND METHOD FOR CREATING INSTRUCTION GROUPS FOR  
25 EXPLICITLY PARALLEL ARCHITECTURES", filed on even date herewith and hereby incorporated by reference.

**BACKGROUND OF THE INVENTION**

30 **1. Technical Field:**

The present invention is directed to an apparatus

and method for creating instruction bundles in an  
explicitly parallel architectures. More particularly,  
the present invention is directed to an apparatus and  
method for creating instruction bundles for the IA64  
5 architecture.

## 2. Description of Related Art:

Explicitly parallel architectures, such as IA64,  
require that instructions be organized into bundles  
10 comprising three instruction slots and a template field  
that identifies for each slot the execution unit type to  
which the instruction will be dispatched. Only a subset  
of instruction combinations are valid.

Because dynamic compilers, such as Just-In-Time  
15 compilers, typically compile methods as they are invoked,  
compile time is a direct contributor to response time and  
therefore, should be minimized. At the same time, the  
type of bundles selected have a direct effect on the  
execution time of the compiled method.

20 Thus, it would be beneficial to have an apparatus  
and method for quickly creating instruction bundles that  
will maximize instruction level parallelism and thereby  
optimize performance of the compiled method.

**SUMMARY OF THE INVENTION**

5       The present invention provides an apparatus and  
method for creating instruction groups for explicitly  
parallel architectures, and in particular,  
implementations of the IA64 architecture. The apparatus  
and method accept instruction groups as input. For each  
10   instruction group, bundles are created based on the types  
of instructions present in the group. The final bundle  
of each group will contain a stop bit to designate the  
end of the instruction group. In some cases the bundling  
process of one group is not completed until a subsequent  
15   group is examined to see if some or all of its  
instructions may be placed in the final bundle of the  
first group. When groups are combined in this way an  
intra-bundle stop bit is used to designate the end of the  
first instruction group. The instruction bundling is  
20   performed based on a most restrictive instruction type  
placement first and proceeds to less restrictive  
instruction type placement.

**BRIEF DESCRIPTION OF THE DRAWINGS**

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objectives and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

10       **Figure 1** is an exemplary block diagram of a data processing system according to the present invention;

**Figure 2** is an exemplary diagram illustrating template field encoding and instruction slot mapping in accordance with an IA64 architecture;

15       **Figures 3A-3C** are diagrams illustrating pseudo-code for creating instruction groups for an explicitly parallel architecture in accordance with the present invention; and

**Figure 4** is a flowchart outlining an exemplary  
20   operation of the present invention.

**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

With reference now to the figures, and in particular **Figure 1**, a block diagram of a data processing system in which the present invention may be implemented is illustrated. Data processing system **250** is an example of a client computer, however, the present invention may be implemented in a server, stand-alone computing device, or the like. In short, the present invention may be implemented in any data processing device having an explicitly parallel architecture. By explicitly parallel architecture, what is meant is that the compiler or programmer is responsible for designating which instructions may be executed in parallel. The architecture provides a means for the compiler to identifying such groups of instructions. For example, in the IA64 architecture, described in greater detail hereafter, the stop bits provide this means for identifying groups of instructions.

Data processing system **150** employs a peripheral component interconnect (PCI) local bus architecture. Although the depicted example employs a PCI bus, other bus architectures such as Micro Channel and ISA may be used. Processor **152** and main memory **154** are connected to PCI local bus **156** through PCI Bridge **158**. PCI Bridge **158** also may include an integrated memory controller and cache memory for processor **152**. Additional connections to PCI local bus **156** may be made through direct component interconnection or through add-in boards. In the depicted example, local area network (LAN) adapter **160**, SCSI host bus adapter **162**, and expansion bus interface

164 are connected to PCI local bus 156 by direct component connection. In contrast, audio adapter 166, graphics adapter 168, and audio/video adapter (A/V) 169 are connected to PCI local bus 166 by add-in boards  
5 inserted into expansion slots. Expansion bus interface 164 provides a connection for a keyboard and mouse adapter 170, modem 172, and additional memory 174. SCSI host bus adapter 162 provides a connection for hard disk drive 176, tape drive 178, and CD-ROM 180 in the depicted  
10 example. Typical PCI local bus implementations will support three or four PCI expansion slots or add-in connectors.

An operating system runs on processor 152 and is used to coordinate and provide control of various  
15 components within data processing system 150 in Figure 1. The operating system may be a commercially available operating system such as OS/2, which is available from International Business Machines Corporation.

An object oriented programming system such as Java  
20 may run in conjunction with the operating system and may provide calls to the operating system from Java programs or applications executing on data processing system 150. Instructions for the operating system, the object oriented operating system, and applications or programs  
25 are located on storage devices, such as hard disk drive 176 and may be loaded into main memory 154 for execution by processor 152. Hard disk drives are often absent and memory is constrained when data processing system 150 is used as a network client.

30 Those of ordinary skill in the art will appreciate that the hardware in Figure 1 may vary depending on the

implementation. For example, other peripheral devices, such as optical disk drives and the like may be used in addition to or in place of the hardware depicted in

**Figure 1.** The depicted example is not meant to imply architectural limitations with respect to the present invention. For example, the processes of the present invention may be applied to a multiprocessor data processing system.

The present invention provides an apparatus and method for creating instruction bundles for explicitly parallel architectures. In particular, the present invention provides an apparatus and method for creating instruction bundles for implementations of the IA64 explicitly parallel architecture. The IA64 architecture is described in the "Intel IA-64 Architecture Software Developer's Manual" available for download from <http://developer.intel.com/design/Ia-64/downloads/24531702s.htm>, which is hereby incorporated by reference. While the present invention will be described with reference to the Itanium implementation of the IA64 architecture, the present invention is not limited to such. Rather, the present invention is applicable to any explicitly parallel architecture and any implementation of the IA64 architecture in particular.

An IA64 program consists of a sequence of instructions and stops packed in bundles. A bundle is 128 bits in size and contains 3 41-bit instruction slots and a 5 bit template. The template maps the instruction slots to the execution units to which they will be dispatched and identifies instruction group stops within the bundle. A bundle need not include any instruction group stops in which case the three instructions may be



executed in parallel with some or all the instructions of the next bundle.

**Figure 2** is an exemplary diagram illustrating instruction slots and template maps in accordance with the present invention. The double vertical lines in the figure represent stops which may be at the end of a bundle or at an intermediate point in the bundle.

An instruction group is a sequence of instructions starting at a given bundle address and slot number and including all instructions at sequentially increasing slot numbers and bundle addresses up to the first stop, taken branch, or fault. In IA64, instructions may be of six different types:

- 1) A, Integer Arithmetic Logic Unit (ALU);
- 2) I, Non-ALU Integer;
- 3) M, Memory;
- 4) F, Floating-point;
- 5) B, Branch; and
- 6) LX, Long immediate (this is used for generating 64 bit constants and long branches although the latter is not implemented on Itanium).

IA64 execution units may be of four different types:

- 1) Integer (I-unit), which can execute A, I and LX instructions;
- 2) Memory (M-unit), which can execute M and A instructions;
- 3) Floating-point (F-unit), which can execute F instructions; and
- 4) Branch (B-unit), which can execute B instructions.

In view of the above architecture, and resource limitations of the Itanium implementation of the IA64

architecture, certain combinations of instructions may be grouped for efficient parallel execution by the IA64 architecture execution units. **Table 1** shows the various instruction groups that are currently supported by the Itanium implementation of the IA64 architecture. Note that the LX instruction occupies two slots.

MMF	Memory, Memory, Floating-point
MLX	Memory, Long immediate
MMI	Memory, Memory, Integer
MII	Memory, Integer, Integer
MFI	Memory, Floating-point, Integer
MMB	Memory, Memory, Branch
MFB	Memory, Floating-point, Branch
MIB	Memory, Integer, Branch
MBB	Memory, Branch, Branch
BBB	Branch, Branch, Branch

**Table 1** - Currently Supported Bundles

As mentioned above, instruction group stops may occur after the final instruction of any of the bundles described by the preceding templates. Additionally, there are two templates that provide for stopping an instruction group prior to the last instruction in the bundle. These two templates are MI\_I and M\_MI, where the underscore indicates the location of the instruction stop. These two templates may also identify stops at the end of the bundle.

In addition to the above, the Itanium implementation of the IA64 architecture has asymmetric instruction units with regard to which instructions they can execute. For example, Itanium has 2 integer units (I0, I1) where I0 can execute the entire set of A, I and LX instructions but I1 is unable to execute certain specific instructions

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in that set such as extr and tbit. When dispatching instructions, the first I-unit instruction in the instruction group will be dispatched to I0 with the second going to I1. If I1 cannot execute the instruction because it is can only execute in IO, a stall occurs until the instruction in I0 is completed at which time the second I instruction will be dispatched to I0.

The same situation holds for the two floating point units, i.e. F0 can execute everything, F1 can execute only a subset) and the two memory units of the Itanium implementation of IA64. To avoid these stalls, the bundles must be arranged so that the restricted instructions occur ahead of non-restricted instructions. The restricted instructions are assigned type I0, F0 and M0.

It is important to note that, in the following description, NOP (pronounced "no-op") instructions may be dispatched to designated execution units. Any unit processing a NOP will be unavailable to process other instructions in the current instruction group. A NOP instruction is essentially an instruction that performs no appreciable function other than to make an instruction bundle meet architectural requirements and thereby make an associated execution unit unavailable.

It is also important to note that bundles may be created that are legal but degrade performance. For example, in Itanium, if an instruction group includes two M instructions, 1 I instruction and 1 A instruction, some legal but inefficient groupings include: A) MMI MII\_, B) MII MII\_, C) MMF MFI\_, and D) MII MFI\_. Again, the underscore indicates the presence and postion of the stop bit. These bundle pairs are inefficient because they

oversubscribe the available execution units and cause stalls. Itanium has 2 M-units, 2 I-units, 2 F-units and 3 B-units. Therefore examples A) and C) will stall when the third M instruction is encountered while examples B) and D) will stall when the third I instruction is encountered. It makes no difference that the 3rd M and 3rd I instructions are NOPs. They still must be dispatched to an execution unit and will cause a stall if no unit is available.

Some legal and efficient bundles for the same input include MII MFB, MFI MFI, and MIB MIB. These bundle pairs are efficient in that there are sufficient execution units to allow concurrent execution with no stalls.

The present invention provides a mechanism to quickly organize instructions into valid bundles that will efficiently exploit the resources of the target processor. With the present invention, bundle creation is the final step in compilation and the bundles are emitted, i.e. code is generated for the instructions, directly into a code buffer associated with the processor. The input to the bundle creation is a stream of intermediate instructions organized into instruction groups by a previous operation. The step of organizing intermediate instructions into instruction groups may be performed, for example, using the apparatus and method described in co-pending and commonly assigned U.S. Patent Application Serial No. \_\_\_\_\_ (Attorney Docket No. AUS9-2000-0586-US1), which is hereby incorporated by reference. It is assumed that the creator of the instruction groups is aware of the limitations and special requirements of the target implementation and

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that the instruction groups will not include instruction combinations that will force oversubscription of hardware resources assuming optimal bundling is performed. The end of each instruction group is  
5 identified by a stop flag set to one in the final intermediate instruction of each instruction group.

With the present invention, prior to performing the instruction bundle creation, the apparatus and method of the present invention gathers information about the  
10 underlying architecture for use in the instruction bundle creation. The information gathered includes the number of each type of execution unit available and the number of bundles that can be dispatched concurrently by the architecture. For example, Itanium has two I-units, two  
15 M-units, two F-units, and three B-units and can dispatch a maximum of two bundles concurrently. As described in the incorporated U.S. Patent Application Serial No.

\_\_\_\_\_ (Attorney Docket No. AUS9-2000-0586-US1), this information may be obtained as part of or previous to the  
20 step of instruction group creation.

Once the architecture limitation information is obtained, instruction bundle creation may be performed. The instruction bundle creation is performed an instruction group at a time. Thus, an instruction group  
25 is fed to the instruction bundle creation apparatus/method, instruction bundle creation is performed, the instruction bundle is output to a code buffer, and the next instruction group is provided to the instruction bundle creation apparatus/method. This process is  
30 repeated for each instruction group in the input instruction stream.

The instruction bundle creation follows a number of

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rules:

1) Instructions of the same instruction type will preserve their original order. This allows instruction group creation to allow write-after-read (legal in IA64) for instructions of the same type within the same group; For example if the original programming order were:

```

mov  r5 = 1
;;
mov  r4 = r5
10  mov  r5 = 2
    ;;

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where ;; = stop bit, when these 2 instruction groups complete, r4 will contain a 1. If the final 2 instructions were inverted it is architecturally unpredictable what would be in r4.

2) Branches will normally appear only in the final bundle of an instruction group as they can dynamically terminate the group and in implementations such as Itanium, bundles MFB, MIB and MMB will always cause a split issue (stall) after the B syllable. ;

3) For machines where the number of M-units is equal or less than the number of concurrent bundles, MM templates will only be used when there are 3 or fewer instructions remaining in the group (note that each bundle type except BBB requires an M-unit instruction);

4) Instructions are taken in order of their flexibility in terms of where that instruction can be placed in the available bundle types; and

5) for Itanium, avoid MBB and BBB templates when only a single B instruction remains because Itanium employs less efficient branch prediction for these template types.

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In view of these rules, the instruction bundle creation process will now be described. The bundle creation process is performed based on a most restrictive instruction type placement and proceeds to less restrictive instruction type placement. The following description of a preferred embodiment of the present invention is provided only for illustrative purposes and is not meant to imply any limitation on the order or type of bundle creation checks performed.

5 The following description is provided based on the Itanium implementation of the IA64 architecture. The following description makes reference to a plurality of different checks with the results being various templates for instruction bundles. It should be appreciated that  
10 once an instruction bundle template is determined in the manner set forth below, instructions are assigned to the bundles in accordance with the instruction bundle templates.

As a preliminary step to the instruction bundle  
20 creation, the number of instruction types in the instruction group is counted and stored as TypesA, TypesM, TypesI, TypesB, TypesF, TypesLX. Two additional counters, TypesMIA and TypesALL, are incremented concurrently with the individual counters as  
25 appropriate. TypesAll is incremented for each instruction type and TypesMIA is incremented when any of TypesM, TypesI or TypesA is incremented. Once the number of instruction types in the instruction group is known, bundle creation is performed beginning with a check for  
30 the most common instruction combinations and proceeding to an algorithm based on a most restrictive instruction type placement and proceeding to less restrictive

instruction type placement. As instructions are selected for inclusion in bundles the corresponding counters are decremented.

5 An overview of the bundling process is laid out below. The details of the process are exposed in the pseudo code figures and the descriptions thereof.

To promote efficient bundling, the most common case is handled first. This is where all the (remaining) instructions in the group are of the type M, I or A.

10 When many instructions remain, these instructions will be packaged into several MMI and MII bundles. MMI bundles will be generated as long as the majority of remaining instructions are of type M. Otherwise, MII bundles will be generated.

15 For small instruction groups (or when only a few instructions remain in a large instruction group) special care is taken to insure that the bundling does not introduce hardware oversubscription. This involves examination of both the current mix of instructions and  
20 the previous instruction bundle type. It may also result in a "request" to form a partial bundle. This occurs when there are 2 or fewer instructions remaining in the group and there are fewer than two I type instructions.

In this case, to improve instruction cache  
25 footprint, it may be best to package the remaining instructions into MI\_I or (when there is a single M or A) M\_MI bundles. However, the final determination cannot be made until the subsequent instruction group is examined to determine if such packaging would have an adverse  
30 effect on overall performance. Instead a variable is set and passed to the next iteration of the instruction bundler to indicate that the previous group could be



terminated with M\_MI or MI\_I. If that subsequent group can make good use of the available bundle fragments (MI or I) then the M\_MI or MI\_I bundles will be formed. Otherwise, the preceding bundle is completed by inserting appropriate NOP(s) and assigning a template that has no intra-bundle stop but has a stop at the end of the bundle. In this way, the new instruction group will start with a "fresh" bundle. For example, on Itanium, if the preceding instruction group indicates that it could be concluded in an MI\_I bundle and the current instruction group includes: 2 M types, 2 I types and 1 B type, then one of the I types could be included in the previous bundle. However, because of the remaining mix of instructions an additional two bundles would be required to hold the remaining instructions. For example the group could be packaged as MI\_I MFB MIB\_. Note that second instruction group would span more than the 2 bundle dispersal window of Itanium and would require a minimum of two cycles to complete. Instead, the current invention would reject the invitation to bundle the preceding group with an MI\_I bundle and would cause the instructions to be organized as MFI\_ MII MFB\_. Thus the second group could be executed in a single cycle with no adverse effects on the preceding group.

After the common cases are handled the focus is shifted to the most restrictive instruction types. For Itanium, if there are instructions that must run in I0 or F0 they are placed in bundles first. Otherwise, if there are any LX instructions in the instruction group, it is known that only a MLX bundle can contain a LX instruction and therefore a MLX bundle is created.

The next most restrictive instruction type to

consider is branch. In that the branches must appear as the final instructions in the instruction group, a determination is made as to whether there are any B (branch) instructions and whether there are 2 or fewer instructions of all other types. If there are B instructions and two or fewer other instructions, a determination is made as to whether there are any F instructions in the instruction group. If there are two F instructions or an F and an I instruction, it is known that the inclusion of the branches must be postponed as there is no bundle that can contain such a combination of F and I instructions and also contain a branch. Instead, a MFI bundle is created. Otherwise, if there is a single F instruction, it is known that MFB would be an effective instruction bundle.

If there are no F instructions but there are B instructions, a determination is made as to whether there are any I instructions. If there are two I instructions, the inclusion of branches again must be postponed as there is no IIB template. Instead a MII bundle is generated. If there are not two I instructions, but there are two M instructions and a single B instruction a MMB bundle is generated to end the instruction group. Otherwise, if there are two non-B instructions they must include at most one I and one M. In this case the bundle MIB will be an effective choice.

If there is only one B instruction, and the above checks are not met, the bundles may effectively include a MFB type bundle. If there are two B instructions and the above checks are not met, the bundles may include a MBB type bundle. Otherwise, if none of the above applies, then there must be more than 2 B instructions remaining

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and no non-B instructions. In this case the BBB template is the best choice.

Once the instruction bundle creation is performed based on the LX and B instruction placement, a  
5 determination is made as to whether there are any F instructions remaining. If so, a determination is made as to whether there are only three instructions remaining which include two M instructions. If so, the bundles can effectively include a MMF type instruction bundle.

10 Otherwise, if there is an I or A instruction or there are three B instructions, the MFI template would be an effective template for one of the bundles.

Once all instructions in the instruction group are added to bundles using the process described above, a  
15 stop bit is inserted unless an M\_MI or MI\_I bundle is being proposed. In either case, if more instruction groups remain the process is repeated. Otherwise, if an M\_MI or MI\_I bundle has been proposed, the unfinished bundle is completed by inserting appropriate NOP(s) and  
20 assigning template MFB\_ for M\_MI and MIB\_ for template MI\_I. At that point the process is complete.

**Figures 3A-3C** are diagrams illustrating pseudo-code for performing the method of the present invention for Itanium. The following terms, used in **Figures 3A-3C**,  
25 have the following associated meanings:

Take-M: take first remaining M0 instruction; if none, take first remaining M instruction; if none, take first remaining A instruction; if none, insert NOP instruction.

30 Take-I: take first remaining I0 instruction; if none, take first remaining I instruction; if none, take first remaining A instruction; if none, insert NOP.

Take-B: take the first remaining B instruction; if none, insert NOPB (branch has its own special NOP, described below).

NOPB: insert branch NOP (this NOP has the same effect as NOP but has a different opcode and format).

First the most common cases are handled and then the checks flow from a most restrictive type of instruction placement to a less restrictive type instruction placement. When fewer than 3 instructions remain in an instruction group, a determination is made as to whether an intra-bundle might be formed with the final instructions. If so, a variable, INCOMPLETE, is set to indicate the type of bundle (M\_MI or MI\_I) being considered. However, the completion of the bundle including template selection is postponed until the counters available for the next instruction group. At that time it can be determined if instructions from the new group can effectively populate the remaining slot(s)

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of the previous bundle. If so the bundle suggested by INCOMPLETE is created. Otherwise, if INCOMPLETE is M\_MI the previous bundle is finished by filling in NOPs and assigning it the MFB\_ template. Whereas if it is MI\_I it is completed as a MIB\_ template.

**Figure 4** is a flowchart outlining an exemplary operation of the present invention. As shown in **Figure 4**, the operation starts with receiving an instruction group as input (step **410**). The number of each instruction type is determined (step **420**). A determination is made as to whether the variable INCOMPLETE is zero (step **430**). If INCOMPLETE is not zero, a determination is made as to whether the current mix of instructions could effectively populate the fragment (step **440**). If so the intra-stop bundle is completed by inserting one or two instructions from the current group into the previous bundle (step **450**). Otherwise, the previous bundle is completed by inserting NOP(s) and using template MIB\_ (for MI\_I) or MFB\_ (for M\_MI) (step **460**).

If INCOMPLETE is zero in step **430**, instruction bundle creation is performed with regard to the efficient bundle templates (step **470**). This may be performed in the manner outlined above. The operation then ends.

Thus, the present invention provides a mechanism by which efficiently executed instruction bundles may be created in a quick manner. With the present invention, very little CPU time is required to bundle instructions from instruction groups in an optimized manner such that execution of the instruction bundles maximizes the efficiency of the overall system.

It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present invention are capable of being distributed in the form of a computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of computer readable media include recordable-type media such a floppy disc, a hard disk drive, a RAM, and CD-ROMs and transmission-type media such as digital and analog communications links.

The description of the present invention has been presented for purposes of illustration and description, but is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiment was chosen and described in order to best explain the principles of the invention, the practical application, and to enable others of ordinary skill in the art to understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.

**CLAIMS:**

What is claimed is:

1. A method for creating instruction bundles,  
5 comprising:  
    receiving an instruction group having one or more instructions;  
    determining a number of each possible type of instruction in the one or more instructions of the  
10 instruction group; and  
    creating one or more instruction bundles based on the number of each possible type of instruction in the one or more instructions of the instruction group.
- 15 2. The method of claim 1, wherein receiving an instruction group having one or more instructions includes receiving a stream of intermediate instructions organized into instruction groups.
- 20 3. The method of claim 1, further comprising gathering information about an architecture for use in creating instruction bundles.
4. The method of claim 3, wherein the information  
25 includes at least one of a number of each type of execution unit available in the architecture and a number of bundles that can be dispatched concurrently by the architecture.
- 30 5. The method of claim 2, wherein the steps of determining a number of each possible type of instruction and creating one or more instruction bundles is performed

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7. The method of claim 1, wherein creating one or more instruction bundles is performed based on a most common instruction combination first.

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less restrictive instruction type placement.

10. The method of claim 1, wherein determining a number  
of each possible type of instruction in the one or more  
5 instructions of the instruction group includes  
incrementing instruction counters based on the number of  
each possible type of instruction in the one or more  
instructions, and wherein creating one or more  
instruction bundles includes decrementing the instruction  
10 counters as instructions are added to instruction  
bundles.

11. The method of claim 7, wherein the most common  
instruction combination is where all instructions in the  
15 instruction group are of a memory instruction type,  
integer instruction type or integer arithmetic logic unit  
type.

12. The method of claim 1, wherein creating one or more  
20 instruction bundles includes ensuring that creating the  
one or more instruction bundles does not introduce  
hardware oversubscription.

13. The method of claim 12, wherein ensuring that  
25 creating the one or more instruction bundles does not  
introduce hardware oversubscription includes forming  
partial instruction bundles.

14. An apparatus for creating instruction bundles,  
30 comprising:

means for receiving an instruction group having one  
or more instructions;

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means for determining a number of each possible type of instruction in the one or more instructions of the instruction group; and

means for creating one or more instruction bundles  
5 based on the number of each possible type of instruction in the one or more instructions of the instruction group.

15. The apparatus of claim 14, wherein the means for receiving an instruction group having one or more  
10 instructions includes means for receiving a stream of intermediate instructions organized into instruction groups.

16. The apparatus of claim 14, further comprising means  
15 for gathering information about an architecture for use in creating instruction bundles.

17. The apparatus of claim 16, wherein the information includes at least one of a number of each type of  
20 execution unit available in the architecture and a number of bundles that can be dispatched concurrently by the architecture.

18. The apparatus of claim 15, wherein the means for  
25 determining a number of each possible type of instruction and means for creating one or more instruction bundles operate on each instruction group in the stream of intermediate instructions.

30 19. The apparatus of claim 14, wherein the means for creating one or more instruction bundles operates in view of one or more of the following rules:

5           3) for architectures where a number of M execution  
units is equal or less than a number of concurrent  
bundles, MM templates will only be used when there are  
three or fewer instructions remaining in the group;

5) MBB and BBB templates are avoided when only a single B instruction remains.

20 21. The apparatus of claim 20, wherein the means for creating one or more instruction bundles creates the one or more instruction bundles based on a most restrictive instruction type placement and proceeds to less restrictive instruction type placement second.

23. The apparatus of claim 14, wherein the means for

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determining a number of each possible type of instruction in the one or more instructions of the instruction group includes means for incrementing instruction counters based on the number of each possible type of instruction in the one or more instructions, and wherein the means for creating one or more instruction bundles includes means for decrementing the instruction counters as instructions are added to instruction bundles.

24. The apparatus of claim 20, wherein the most common instruction combination is where all instructions in the instruction group are of a memory instruction type, integer instruction type or integer arithmetic logic unit type.

25. The apparatus of claim 14, wherein the means for creating one or more instruction bundles includes means for ensuring that creating the one or more instruction bundles does not introduce hardware oversubscription.

26. The apparatus of claim 25, wherein the means for ensuring that creating the one or more instruction bundles does not introduce hardware oversubscription includes means for forming partial instruction bundles.

27. A computer program product in a computer readable medium for creating instruction bundles, comprising:

first instructions for receiving an instruction group having one or more instructions;

second instructions for determining a number of each possible type of instruction in the one or more instructions of the instruction group; and

third instructions for creating one or more instruction bundles based on the number of each possible type of instruction in the one or more instructions of the instruction group.

5

28. The computer program product of claim 27, wherein the first instructions for receiving an instruction group having one or more instructions includes instructions for receiving a stream of intermediate instructions organized  
10 into instruction groups.

29. The computer program product of claim 27, further comprising fourth instructions for gathering information about an architecture for use in creating instruction  
15 bundles.

30. The computer program product of claim 29, wherein the information includes at least one of a number of each type of execution unit available in the architecture and  
20 a number of bundles that can be dispatched concurrently by the architecture.

31. The computer program product of claim 28, wherein the second instructions for determining a number of each possible type of instruction and third instructions for  
25 creating one or more instruction bundles are executed on each instruction group in the stream of intermediate instructions.

30 32. The computer program product of claim 27, wherein the third instructions for creating one or more instruction bundles are executed in view of one or more

of the following rules:

1) instructions of the same instruction type will preserve there original order;

2) branches will normally appear only in the final  
5 bundle of an instruction group;

3) for architectures where a number of M execution units is equal or less than a number of concurrent bundles, MM templates will only be used when there are three or fewer instructions remaining in the group;

10 4) instructions are taken in order of their flexibility in terms of where that instruction can be placed in the available bundle types; and

5) MBB and BBB templates are avoided when only a single B instruction remains.

15

33. The computer program product of claim 27, wherein the third instructions for creating one or more instruction bundles creates the one or more instruction bundles based on a most common instruction combination  
20 first.

34. The computer program product of claim 33, wherein the third instructions for creating one or more instruction bundles creates the one or more instruction  
25 bundles based on a most restrictive instruction type placement and proceeds to less restrictive instruction type placement second.

35. The computer program product of claim 27, wherein  
30 the third instructions for creating one or more instruction bundles creates the one or more instruction bundles based on a most restrictive instruction type

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placement and proceeds to less restrictive instruction type placement.

36. The computer program product of claim 27, wherein  
5 the second instructions for determining a number of each possible type of instruction in the one or more instructions of the instruction group includes instructions for incrementing instruction counters based on the number of each possible type of instruction in the  
10 one or more instructions, and wherein the third instructions for creating one or more instruction bundles includes instructions for decrementing the instruction counters as instructions are added to instruction bundles.

15 37. The computer program product of claim 33, wherein the most common instruction combination is where all instructions in the instruction group are of a memory instruction type, integer instruction type or integer  
20 arithmetic logic unit type.

38. The computer program product of claim 27, wherein the third instructions for creating one or more instruction bundles includes instructions for ensuring  
25 that creating the one or more instruction bundles does not introduce hardware oversubscription.

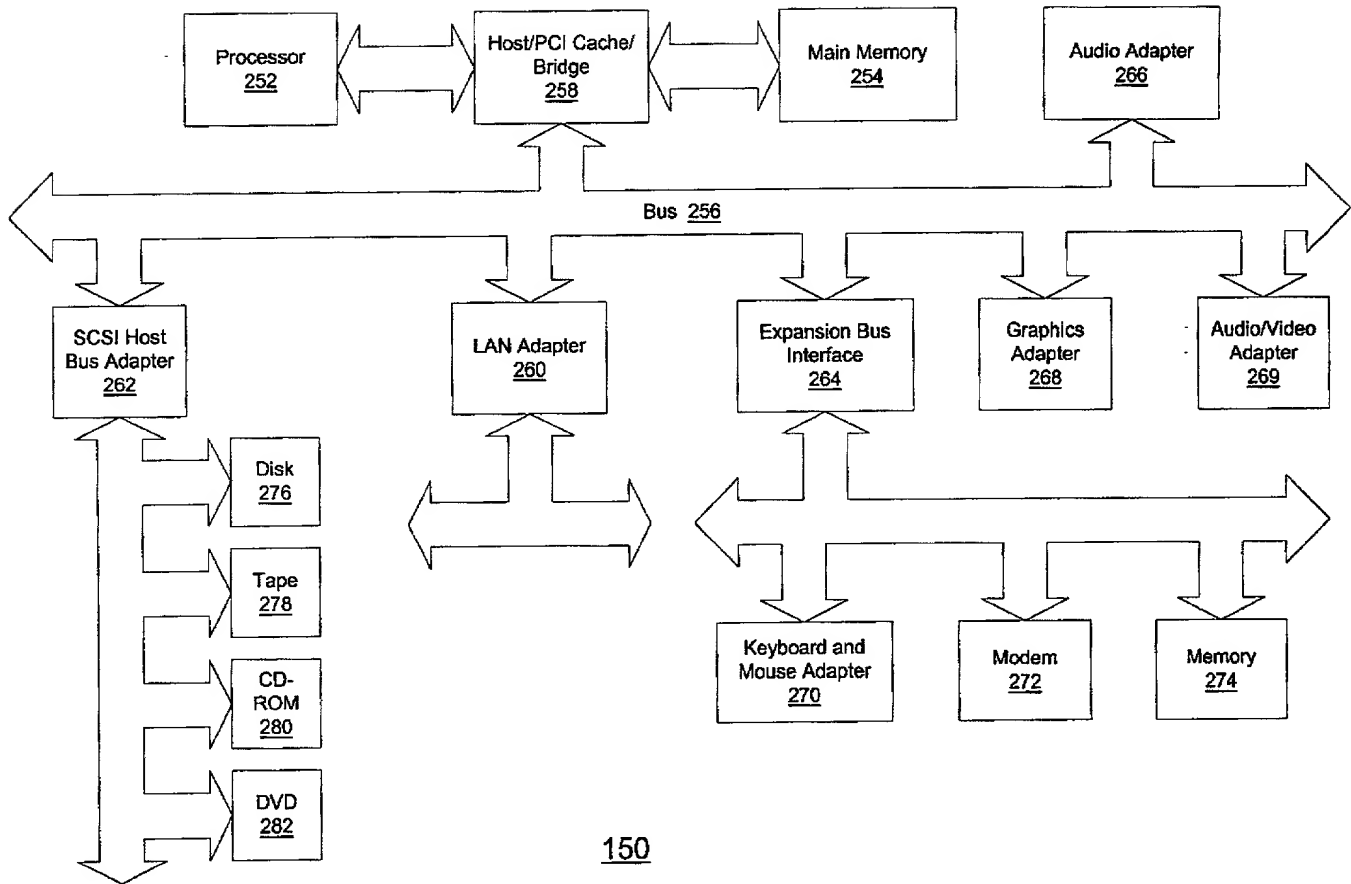
39. The computer program product of claim 38, wherein the instructions for ensuring that creating the one or  
30 more instruction bundles does not introduce hardware oversubscription includes instructions for forming partial instruction bundles.

## ABSTRACT OF THE DISCLOSURE

# APPARATUS AND METHOD FOR CREATING INSTRUCTION GROUPS FOR EXPLICITLY PARALLEL ARCHITECTURES

5           An apparatus and method for creating instruction groups for explicitly parallel architectures is provided. The apparatus and method accept instruction groups as input and determine a number of each possible type of instruction in the instruction group. Based on the number of each possible type of instruction in the instruction group, instruction bundling is performed such that the instructions in the instruction group are bundled into efficiently executed bundles. The instruction bundling further accommodates intra-bundle stop bundles in the event that more efficient bundles are not possible. The instruction bundling is performed based on a most restrictive instruction type placement first and proceeds to less restrictive instruction type placement.



**Figure 1**

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Template	Slot 0	Slot 1	Slot 2
00	M-unit	I-unit	I-unit
01	M-unit	I-unit	I-unit
02	M-unit	I-unit	I-unit
03	M-unit	I-unit	I-unit
04	M-unit	L-unit	X-unit
05	M-unit	L-unit	X-unit
06			
07			
08	M-unit	M-unit	I-unit
09	M-unit	M-unit	I-unit
0A	M-unit	M-unit	I-unit
0B	M-unit	M-unit	I-unit
0C	M-unit	F-unit	I-unit
0D	M-unit	F-unit	I-unit
0E	M-unit	M-unit	F-unit
0F	M-unit	M-unit	F-unit
10	M-unit	I-unit	B-unit
11	M-unit	I-unit	B-unit
12	M-unit	B-unit	B-unit
13	M-unit	B-unit	B-unit
14			
15			
16	B-unit	B-unit	B-unit
17	B-unit	B-unit	B-unit
18	M-unit	M-unit	B-unit
19	M-unit	M-unit	B-unit
1A			
1B			
1C	M-unit	F-unit	B-unit
1D	M-unit	F-unit	B-unit
1E			
1F			

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**THE** **NEW** **YORK** **PUBLIC** **LIBRARY**

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```

    }

    if remainder = 1 then: {
        if (TypesI > 0 OR TypesA > 0) AND TypesF < TypesF-units AND
TypesM+TypesA < bundle count then:
            goto MakeM_MI;
        else
            goto MakeMFB;
    }
    /* remainder = 2 */
    if (TypesI > 0 OR TypesA > 0) AND TypesF < TypesF-units AND
TypesM+TypesA >= bundle count then:
        goto MakeM_MI;

MakeMFB:
    Template = MFB_;
    nop;
    nopb;
    goto StoreBundle;
    /*-----
---+| INCOMPLETE equals MI_I
+-----
---*/
    } else {
        INCOMPLETE = 0;
        if dispersal window is large {
MakeMI_I:
            Template = MI_I;
            take-I;
            goto StoreBundle;
        }
        remainder = size of instruction group % 3
        if remainder = 2 then:
            goto MakeMIB;

        if remainder = 0 then: {
            if TypesI > 0 AND TypesF < TypesF-units
AND TypesM+TypesA < bundle count then: goto MakeMI_I;
            else goto MakeMIB;
        }
        /* remainder = 1 */
        if (TypesI > 0 OR TypesA > 0) AND TypesF < TypesF-units
AND TypesM+TypesA < bundle count then: goto MakeMI_I;

MakeMIB:
        Template = MIB_;
        nopB;
        goto StoreBundle;
    }
}

While TypesALL > 0{ // while instructions remain in group

```

## Figure 3B

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```

if TypesALL is equal to TypesMIA {
  if TypesALL > 3 {
    if TypesM > TypesI+TypesA then: Template = MMI; take-M;take-M;take-I
    else Template = MII; take-M;take-I;take-I
    goto StoreBundle;
  }
  if TypesALL = 3 and this is the first bundle of the group {
    if TypesI > 1 then: Template = MII; take-M;take-I;take-I
    else Template = MMI; take-M;take-M;take-I
    goto StoreBundle;
  }
  if TypesALL = 2 OR TypesALL = 1 and TypesI = 1{
    if TypesM = 2 then: Template = MMF; take-M;take-M;nop; goto
StoreBundle;
    else INCOMPLETE = MI_I take-M; take-I; goto TopOfGroup
  }
  /* TypesALL = 1 */
  INCOMPLETE = M_MI take-M; goto TopOfGroup
}
if TypesLX > 0 then: Template= MLX; take-M; take-LX; goto StoreBundle;

if TypesB > 0 AND TypesALL-TypesB < 3 then: {
  if typesF > 0 then: {
    if TypesF+TypesI = 2 then: Template= MFI nop;takeF;take-I; goto
StoreBundle;
    else Template=MFB take-M;takeF;take-B; goto StoreBundle;
  } else if TypesI > 0 {
    if TypesI = 2 then: Template= MII nop;takeF;take-I; goto StoreBundle;
    else Template=MIB take-M;take-I;take-B; goto StoreBundle;
  }else if TypesM = 2 then: Template = MMB take-M;take-M;take-B; goto
StoreBundle;
  else if TypesALL-TypesB = 2 then: Template = MIB take-M;take-I;take-B;
goto StoreBundle;
  else if TypesB = 1 then: Template = MFB take-M;takeF;take-B; goto
StoreBundle;
  else if there are 2 TypesB instructions or 1 non-TypesB: Template = MBB
take-M;take-B;take-B; goto StoreBundle;
  else Template = BBB take-B;take-B;take-B; goto StoreBundle;
}
/* TypesF > 0 */
if TypesALL = 3 AND TypesM = 2 then: Template = MMF take-M;take-M takeF;
goto StoreBundle;
else Template = MFI take-M;takeF take-I; goto StoreBundle;
}
storeBundle:
  if TypesALL = 0 then: insert stop bit in Template;
  build bundle in code buffer;
  if TypesALL = 0 then: goto MainLoop;
  else goto TopOfGroup;
}
DONE
if INCOMPLETE is not zero then: {
  if INCOMPLETE = M_MI then: Template = MFB; nop; nopB; goto StoreBundle;
  else Template = MIB; nopB; goto StoreBundle;
}

```

## Figure 3C

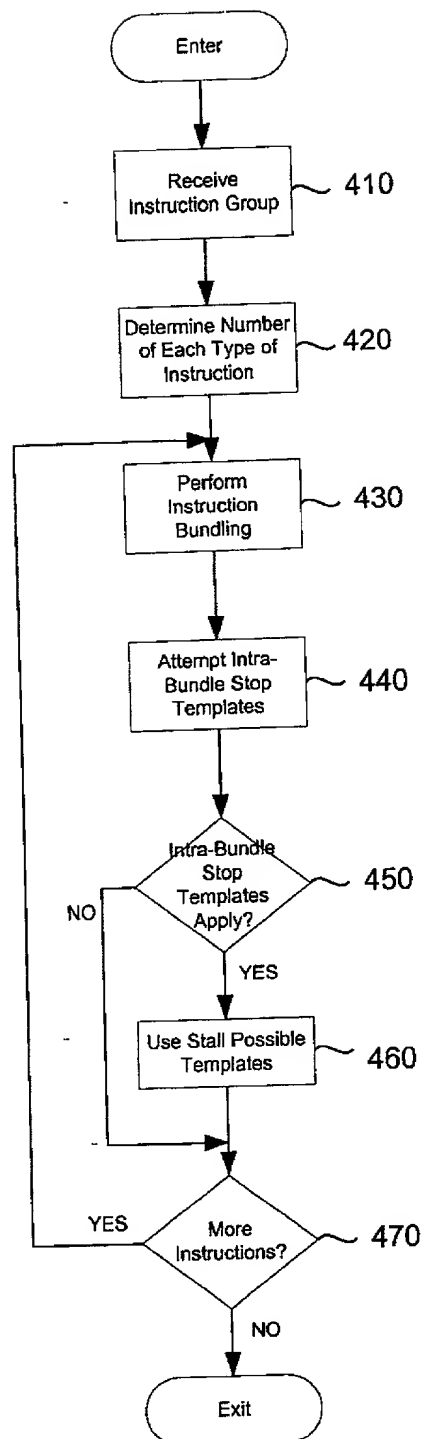
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**Figure 4**

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**DECLARATION AND POWER OF ATTORNEY FOR  
PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**APPARATUS AND METHOD FOR CREATING INSTRUCTION BUNDLES IN AN EXPLICITLY PARALLEL ARCHITECTURE**

the specification of which (check one)

X is attached hereto.

\_\_\_ was filed on \_\_\_\_\_  
as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):	Priority Claimed	
_____	_____	_____ Yes _____ No
(Number)	(Country)	(Day/Month/Year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____	_____	_____
(Application Serial #)	(Filing Date)	(Status)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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